

II. Program-Specific Recovery Plan for Recovery.gov (Q 2.8 of Guidance)	
DOL Agency MAX ID and name: Employment and Training Administration (ETA)	
Recovery Program: Workforce Investment Act Dislocated Worker Program	
Does this program align with an existing CFDA program?	17.260
1. Objectives:	
Program Purpose	The purpose of the Workforce Investment Act (WIA) Dislocated Worker program is to increase the employment, retention, and earnings of individuals registered under the program by increasing their work readiness, educational attainment, and occupational skills, and by connecting them to jobs in demand.
Public Benefits	The WIA Dislocated Worker Program aims to quickly reemploy laid-off workers and to enhance their employability and earnings by increasing occupational skills.
2. Projects and Activities:	
Kinds and scope of projects and activities to be performed	<p>The Recovery Act provides \$1,250,000,000 funding for States to offer employment and training services for dislocated workers</p> <p>In accordance with Sec. 801 of the Recovery Act, up to one percent of the program funds provided may be used for management and oversight of the program. These funds are expended in accordance with the operating plan submitted to the Office of Management and Budget (OMB) and Congress.</p> <p>States offering employment and training services to dislocated workers provide core, intensive, and training services, and can provide supportive services and needs-related payments.</p>
Core Services	Core services include such services as initial assessment, job search and placement assistance, and workforce and economic information.
Intensive Services	Intensive services include more comprehensive assessments, development of individual employment plans, and career guidance and planning.
Training Services	Participants may also receive training linked to employment in high-demand occupations. Training includes occupational skills training; on-the-job training; programs that combine workplace training and related instruction, including registered apprenticeship; training programs operated by the private sector; skill upgrade and retraining; entrepreneurship training; job readiness training; adult education and literacy training; and customized training for employers who commit to hiring individuals enrolled in the program.
Supportive Services and Needs Related Payments	The workforce investment system can use WIA Recovery Act funds to provide supportive services to adults who participate in core, intensives or training services and who are unable to obtain such services through other programs that provide them. The system can also provide needs-related payments to adults who are unemployed and do not qualify for (or have ceased to qualify for) unemployment compensation for the purpose of enabling such individuals to participate in WIA-funded training.

3. Characteristics:	
Types of Financial Awards to be used. Copy from the CPPR provided information	A Formula grants
Type of Recipient	State
Type of Beneficiary	Individual
4. Major Planned Program Milestones:	
Allotments Announced	The Department announced, in Training and Employment Guidance Letter (TEGL) No. 13-08, allotments to states and outlying areas for training and employment services as specified in the Recovery Act for activities under the Workforce Investment Act Adult, Dislocated Worker and Youth Program; and Wagner-Peyser Act (including Reemployment Services)
	Completed: March 6, 2009
Grant Agreements Signed	Grant agreements were signed by states and outlying areas on March 17, 2009, which allowed for timely Notice of Obligations. The grant agreements bound grantees to new safeguards as outlined in the Recovery Act.
	Completed: March 17, 2009
Implementation Guidance Issued	The Department issued the Implementation Guidance on March 18, 2009 in TEGL No. 14-08. The guidance outlines the Department's expectations for implementing the Workforce Investment Act and Wagner-Peyser Act funding in the Recovery Act and state planning requirements for Program Year 2009.
	Completed: March 18, 2009
State Plan Extension Requests	All states are required to submit 5-year state plans as a condition of receiving WIA and Wagner-Peyser funds. ETA breaks this planning cycle into a pair of 2-year plans and a 1-year plan. These plans outline short- and long-term implementation strategies for the statewide workforce investment system. In keeping with the state planning schedule, all states submitted a request to extend into PY 2009 their current WIA and Wagner-Peyser Act State Plan along with proposed levels of performance. ETA also waives certain provisions of WIA to support states in their workforce development efforts. States also submitted requests for current waivers that they wanted to extend.
	Completed: April 15, 2009
States to Allot Funds to Local Workforce Areas	Within 30 days of receipt of the Recovery Act funds (April 20, 2009), states allotted WIA and Wagner-Peyser Act formula funds to the local areas.
	Completed: April 20, 2009
State Readiness Consultations	To support state implementation of Recovery Act WIA and Wagner-Peyser Act formula funds, ETA conducted readiness consultations with each state to inform ETA's technical assistance strategy. As planned, 209 visits were completed by May 22, 2009. A consolidated report of findings was cleared by OMB, and published in June 2009. OMB Control No. 1205-0471.
	Completed: May 22, 2009
State Plan Modifications	ETA requires all states to submit a State Plan modification describing strategies to respond to the economic

	downturn and implement the Recovery Act by June 30, 2009. This June 30, 2009 submission covers the period of July 1, 2009 through June 30, 2010. ETA reviews and approves plans within 90 days of receipt of the plan. All state plans can be accessed at http://www.doleta.gov/USWORKFORCE/WIA/planstatus.cfm .
	Completed: June 30, 2009
Technical Assistance	<p>To support state and local implementation of Recovery Act WIA and Wagner-Peyser Act formula funds, ETA is providing ongoing technical assistance in the form of Webinars, conference calls, and in-person meetings. All technical assistance Webinars can be accessed at economicrecovery.workforce3one.org.</p> <p>A sample of webinars and prerecorded sessions completed include:</p> <ol style="list-style-type: none"> 1. 11/25/09: ARRA Provisions on COBRA Health Insurance Premium Reduction for Displaced Workers: Notice to One-Stop Career Centers and the Workforce System 2. 7/31/09: RES--Strengthening Your Reemployment Efforts through Strong UI Connections 3. 10/5/09: Planning for the Unexpected: Effective Readiness and Response in Disaster National Emergency Grants (NEGs) 4. 10/12/09: Automate Your Career--Department of Labor's Tools to Enhance Your Career's Performance <p>In addition, ETA regional offices have provided workshops and other technical assistance to states on an ongoing basis.</p> <p>Planned: For PY 2009, ETA's technical assistance priorities are focusing on ARRA implementation, frontline staff training and leadership and partnership. Specific topics for TA include reemployment services, on-the job training, adult learning strategies, services to targeted populations, rapid response, managing intake and case management, and unemployment insurance and workforce system connections.</p>
	Expected Completion Date: Ongoing through June 30, 2011
Quarterly Financial and Performance Reports	Quarterly financial and performance reports are due 45 days after the end of each quarter, by Program Year, according to ETA WIA and Wagner-Peyser Act reporting policy. Program Year 2009 began on July 1, 2009.
	Expected Completion Date: Ongoing through June 30, 2011
5. Monitoring and Evaluation:	
<p>The Department has established reporting systems and Office of Management and Budget-approved forms that grantees use to track, document and report expenditures and performance on a regularly scheduled basis that provides updated information to ETA at a minimum of once a quarter. Grantees are required to certify the accuracy of these reports prior to submitting them to ETA through the established electronic systems. ETA also monitors and reviews quarterly Recovery Act recipient reports required under Section 1512, available on Federalreporting.gov.</p> <p>ETA uses its Grants Electronic Management System (GEMS) and other related electronic data collection systems to conduct and document quarterly desk reviews of financial obligations, expenditures and program performance. Grantees identified as "high risk grantees" through</p>	

these reviews are given priority attention for on-site monitoring. ETA's quarterly desk reviews along with on-site reviews are used to identify potential risks and encourage program improvements through technical assistance and training. Additionally, federal regulations require that WIA fund recipients and sub-recipients continuously monitor grant-supported activities. ETA Federal Project Officers review the States' monitoring efforts during on-site visits.

ETA Regional Offices conducted "Regional Readiness Consultations" with state and local areas during April and May 2009. The results of the consultations were analyzed and informed ETA of specific technical assistance needs required for states and local areas to successfully implement Recovery Act-funded programs.

To further ensure that funds are spent on the intended purpose and accurately reported, the Department requires all grantees to submit single audits annually. ETA works with grantees to resolve any audit findings through additional reviews and the development and implementation of corrective action plans.

ETA conducts a final review of each grantee's expenditures and performance during the grant close-out process.

The Department is conducting a review of state workforce development and unemployment insurance policy responses to the current recession and the Recovery Act. Specifically, the review is examining the types of policy actions states take in their workforce development and unemployment insurance systems to meet the challenges of the recession and implementation of the economic stimulus legislation.

In a Recovery Act audit, the Office of Inspector General recommended that ETA prepare a report of quantitative information of the type of occupational training provided with Recovery Act funds for the WIA Adult and Dislocated Worker programs. DOL has committed to complete such report in the fourth quarter of FY 2010. Using the individual record data, ETA will report on the occupations of training for those participants whose activities were funded in whole or part by the Recovery Act. Outcomes will be available in FY 2011.

6. Measures:

Measure Text	Entered Employment Rate
Measure Type	Outcome
Measure Frequency	Annual
Direction of Measure	Increasing
Unit of Measure	Percentage
Explanation of Measure	The entered employment rate represents the percentage of participants employed in the first quarter after exit. This is a federal job training program common measure, which enables the Workforce Investment Act (WIA) Dislocated Worker Program to describe in a similar manner the core purposes and results of the program compared to other education, employment and job training programs. For example, while the target population for the program is dislocated workers as defined by WIA, the ultimate outcomes for this program are the same as for all other employment and training programs. Common measures remove a barrier to service integration among programs by ensuring that programs no longer have different definitions and methodologies for measuring performance. In this case, the performance indicator measures how many participants got a job according to the following formula: of those who are not employed at the date of participation – the number of

	<p>participants who are employed in the first quarter after the exit quarter divided by the number of participants who exited during the quarter. There is no targeted ARRA increment. The target was set using a regression model based on past program results and the unemployment rate. Because of the high unemployment rate and a contracted economy, DOL does not expect that Recovery Act funding will allow the program to improve its entered employment rate in the short-term. However, the funds will allow more individuals to receive services. This output measure is shown below.</p> <p>The actual reported below is from the quarterly report ending December 31, 2009.</p>
Year	2009
Original Program Target	70.2%
Revised Full Program Target	66.3%
Targeted ARRA Increment	N/A
Actual	57.7%
Goal Lead	Grace Kilbane, Administrator, Office of Workforce Investment
Measure Text	Employment Retention Rate
Measure Type	Outcome
Measure Frequency	Annual
Direction of Measure	Increasing
Unit of Measure	Percentage
Explanation of Measure	<p>The employment retention rate represents the percentage of participants employed in the first quarter after program exit still employed in the second and third quarters after exit. This measure is a federal job training program common measure, which enables the WIA Dislocated Worker Program to describe in a similar manner the core purposes and results of the program compared to other education, employment and job training programs. For example, while the target population for the program is dislocated workers as defined by WIA, the ultimate outcomes of this program are the same as for all other employment and training programs. Common measures remove a barrier to service integration among programs by ensuring that programs no longer have different definitions and methodologies for measuring performance. In this case, the performance indicator measures how many participants retained their employment once placed in a job, according to the following formula: those who are employed in both the second and the third quarters after the exit quarter divided by the number of participants who exited during the quarter. There is no targeted ARRA increment. The target was set using a regression model based on past program results and the unemployment rate. Because of the high unemployment rate and a contracted economy, DOL does not expect that Recovery Act funding will allow the program to improve its employment retention rate in the short-term. However, the funds will allow more individuals to receive services. This output measure is shown below.</p> <p>The actual reported below is from the quarterly report ending December 31, 2009.</p>
Year	2009

Original Program Target	83.9%
Revised Full Program Target	84%
Targeted ARRA Increment	N/A
Actual	82.7%
Goal Lead	Grace Kilbane, Administrator, Office of Workforce Investment
Measure Text	Average earnings
Measure Type	Outcome
Measure Frequency	Annual
Direction of Measure	Increasing
Unit of Measure	Dollars
Explanation of Measure	<p>This measure represents the average six-month earnings for program participants. This is a federal job training program common measure which enables the WIA Dislocated Worker Program to describe in a similar manner the core purposes and results of the program compared to other education, employment and job training programs. For example, while the target population for the program is dislocated workers as defined by WIA, the ultimate outcomes of this program are the same as for all other employment and training programs. Common measures remove a barrier to service integration among programs by ensuring that programs no longer have different definitions and methodologies for measuring performance. In this case, the performance indicator measures participants' average six-month earnings once placed in a job (note: the average earnings for a year can be obtained by doubling the performance measure result), according to the following formula: of those participants who are employed in the first, second, and third quarters after the exit quarter – total earnings in the second quarter plus total earnings in the third quarter after the exit quarter divided by the number of participants who exited during the quarter. There is no targeted ARRA increment. The target was set using a regression model based on past program results and the unemployment rate. Because of the high unemployment rate and a contracted economy, DOL does not expect that Recovery Act funding will allow the program to improve its average earnings in the short-term. However, the funds will allow more individuals to receive services. This output measure is shown below.</p> <p>The actual reported below is from the quarterly report ending December 31, 2009.</p>
Year	2009
Original Program Target	\$14,773
Revised Full Program Target	\$15,542
Targeted ARRA Increment	N/A
Actual	\$16,444
Goal Lead	Grace Kilbane, Administrator, Office of Workforce Investment
Measure Text	<p>Monthly Performance Report Indicators:</p> <ul style="list-style-type: none"> • Number of participants served <ul style="list-style-type: none"> ○ Number of UI claimants

	<ul style="list-style-type: none"> ○ Number of Veterans ○ Number of individuals with disabilities ● Number of individual training accounts ● Number of participants in training <ul style="list-style-type: none"> ○ Number in on-the-job training ○ Number in skill upgrading and retraining ○ Number in customized training ● Number receiving supportive services (except needs-related payments) ● Number receiving needs-related payments 																								
Measure Type	Output																								
Measure Frequency	Monthly																								
Direction of Measure	Increasing																								
Unit of Measure	Aggregate Participant Counts																								
Explanation of Measure	<p>Participant levels, by select target characteristics and service categories. This information will enable the Department to report on short-term indicators which are aligned with the intended use of Recovery expenditures. For example, it is the intent of the Recovery Act to increase services to UI claimants as well as veterans and persons with disabilities. Implementation guidance also stresses the need to provide training and those supportive services which aid participant training. These indicators will help describe the Department's progress in these areas on a consistent basis. The Department is working to set targets for these indicators.</p> <p>Results for the monthly indicators:</p> <table border="1"> <thead> <tr> <th><u>February Monthly Results</u></th> <th><u>Cumulative, Program-to-Date Results</u></th> </tr> </thead> <tbody> <tr> <td>50,664 - Total Participants</td> <td>556,061 - Total Participants</td> </tr> <tr> <td>446,604 - UI claimants</td> <td>697,335 - UI claimants</td> </tr> <tr> <td>51,565 - Veterans</td> <td>74,909 - Veterans</td> </tr> <tr> <td>15,348 - individuals with disabilities</td> <td>23,446 - individuals with disabilities</td> </tr> <tr> <td>139,561 - individual training accounts</td> <td>186,344 - individual training accounts</td> </tr> <tr> <td>143,980 - participants in training</td> <td>215,264 - participants in training</td> </tr> <tr> <td>3,875 - in on-the-job training</td> <td>7,554 - in on-the-job training</td> </tr> <tr> <td>35,750 - in skill upgrading and retraining</td> <td>51,652 - in skill upgrading and retraining</td> </tr> <tr> <td>1,325 - in customized training</td> <td>2,283 - in customized training</td> </tr> <tr> <td>74,908 - receiving supportive services (except needs-related payments)</td> <td>109,505 - receiving supportive services (except needs-related payments)</td> </tr> <tr> <td>2,175 - receiving needs-related payments</td> <td>3,081 - receiving needs-related payments</td> </tr> </tbody> </table> <p>These are the results from the monthly report ending February 28, 2010. There has not been a method developed yet to allow for separate reporting of individuals served with Recovery Act funds and those served with regular WIA formula funds. The above counts, therefore, represent a monthly and cumulative result of participants served through both funding streams.</p>	<u>February Monthly Results</u>	<u>Cumulative, Program-to-Date Results</u>	50,664 - Total Participants	556,061 - Total Participants	446,604 - UI claimants	697,335 - UI claimants	51,565 - Veterans	74,909 - Veterans	15,348 - individuals with disabilities	23,446 - individuals with disabilities	139,561 - individual training accounts	186,344 - individual training accounts	143,980 - participants in training	215,264 - participants in training	3,875 - in on-the-job training	7,554 - in on-the-job training	35,750 - in skill upgrading and retraining	51,652 - in skill upgrading and retraining	1,325 - in customized training	2,283 - in customized training	74,908 - receiving supportive services (except needs-related payments)	109,505 - receiving supportive services (except needs-related payments)	2,175 - receiving needs-related payments	3,081 - receiving needs-related payments
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	<p>ETA has provided descriptive analysis and reporting on selected demographic data through the monthly participant reports which can be viewed online at http://www.doleta.gov/performance/arra.cfm.</p> <p>The actual reported below is the cumulative, program-to-date result for the report date ending February 28, 2010.</p>
Year	2009
Original Program Target	NA
Revised Full Program Target	Baseline
Targeted ARRA Increment	N/A
Actual	556,061
Goal Lead	Grace Kilbane, Administrator, Office of Workforce Investment
Measure Text	Monthly Enrollment Comparison
Measure Type	Output
Measure Frequency	Monthly
Direction of Measure	Decreasing
Unit of Measure	Percentage
Explanation of Measure	<p>To avoid provision of employment and training services in areas which do not ultimately place individuals in jobs in expanding economic sectors, ETA will review monthly supplemental performance reports to determine what percentage of participation occurs relative to the level of participation reported for the previous month. A 15% threshold has been identified whereby programs will receive technical assistance if their participation levels vary by greater than 15% from the previous month.</p> <p>The actual reported below shows a 5.2% increase for the monthly enrollment comparison based on the Total Participant level from the month of January to the month of February 2010. The numerator of this percentage is the difference between the total participants from the monthly reports with respective end dates of January 30, 2010 and February 28, 2010. The denominator used to calculate this result is the total participant count for January 2010.</p>
Year	2009
Original Program Target	N/A
Revised Full Program Target	N/A
Targeted ARRA Increment	NA
Actual	+5.2%
Goal Lead	Grace Kilbane, Administrator, Office of Workforce Investment

7. Transparency and Accountability:
<p>Consistent with the transparency and accountability objectives of the Recovery Act, states submit supplemental monthly reports in addition to continuing to submit current quarterly and annual reports. The supplemental monthly reports provide more detailed information on training and other services delivered than is available in current quarterly reports. The supplemental report does not require a new data collection but contains data that is collected, but not currently reported, except annually. The supplemental monthly data enable the identification of customers for whom receipt of employment and training services is emphasized under the Recovery Act. These customers include low-income and public assistance recipients, UI claimants, veterans, youth and customers requiring supportive services in order to participate in training and related services.</p> <p>Additionally, beginning in May 2010 grantees will submit individual participant records quarterly. To date, such records have only been made available once a year. The individual participant record submission differs from the aggregate data that ETA will receive in the monthly and quarterly reports. Individual participant data allows for more rigorous analyses and cross-tabulation of data. Grantees also submit quarterly recipient reports per Section 1512 of the Recovery Act on FederalReporting.gov, which are made public on Recovery.gov.</p> <p>ETA posts the summary of program data on the ETA website and the DOL Recovery webpage.</p>
8. Federal Infrastructure Investments:
N/A
9. Barriers to Effective Implementation:
N/A
10. Environmental Review Compliance:
N/A